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P16/Ink4a And Ki-67 Evaluation Of Intraepithelial And Benign Cervical Lesions At The University College Hospital, Ibadan - A Retrospective Immunohistochemical Study

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1. The principal investigator involved from project conceptualization to conclusion

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ABSTRACT

Objective

P16INK4a and Ki-67 are adjuncts to current histological assessment of cervical biopsies in identifying cases that require strict follow up and prompt intervention. This study aimed to evaluate P16INK4a and Ki-67 expression in squamous intraepithelial and other benign cervical lesions.

Methods

A retrospective cross-sectional study of 153 cases of cervical biopsies diagnosed as CIN and benign cervical lesions between 2006 and 2013 at the University College Hospital, Ibadan, Nigeria. Slides and tissue blocks of all the selected cases were retrieved and classified using the 2003 WHO classification for intraepithelial and benign cervical lesions and were stained with p16INK4a and Ki-67 immunohistochemical stains following heat -induced antigen retrieval. Results were evaluated and compared with histologic diagnosis.

Results

Cases were classified as chronic cervicitis (12.3%), squamous Metaplasia (0.7%), CIN 1 (47.1%), CIN 2 (36.6%) and CIN 3 (3.3%). Majority of the non-dysplastic cervical lesions (including chronic cervicitis cases) showed low P16INK4a reactivity. Positive P16INK4a reactivity was seen in 80% of CIN 3 cases, 83.9% of CIN 2 cases, and, surprisingly, in 97.2% of CIN 1 cases. Ki-67 positivity was seen in 36.6% of cases (75% CIN 2 and 60% CIN 3). There was a significant correlation between the H&E diagnoses of CIN and P16INK4a/Ki-67 immunoreactivities.

Conclusion

Majority of the CIN 1 cases showing low grade p16INK4a immune reactivity strongly suggesting that cervical squamous intraepithelial neoplasia in this environment is likely associated with high grade HPV subtype infections and may predict possible progression to high grade squamous intraepithelial neoplasia. The use of P16INK4a and Ki-67 in the evaluation of cervical biopsies for benign mimics of high grade intraepithelial lesion will aid proper single Pathologist evaluation and help in patients triaging for follow up.

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Introduction :

The incidence of cervical cancer is increasing yearly and it is only second to breast cancer among women.¹ The precursor lesions for invasive squamous cell carcinoma are squamous intraepithelial neoplasia, variably referred to as squamous intraepithelial lesion (SIL) and carcinoma in situ.

P16INK4a and Ki-67 have gained increasing popularity as adjuncts in the prognostication of cervical cancer because they may serve as markers indicating the presence of high risk HPV subtypes in this lesion. The E6 and E7 proteins of the high-risk HPV inhibit the p53 and pRb proteins respectively, which are cell cycle regulatory proteins controlling G1-S transition.^{4,5} The phosphorylation of pRb induces the release of a transcription factor E2F from the bound form of E2F and pRb. The released E2F then stimulates the expression of genes which are involved in G1-S transition⁵. The inactivation of pRb by E7 causes the p16INK4a (P16 Inhibitor of Cyclin Dependent Kinase 4a) overexpression by negative feedback of pRb.³ p16INK4a overexpression appears to be independent of the particular type of highrisk HPV and is overexpressed in almost all high grade SIL (HSIL) and invasive cervical cancers.^{8,20} p16INK4a is particularly an important biomarker for HPV-associated SIL since its overexpression within cervical neoplasia has been directly linked to high expression of E7 HPV oncogene.^{2,3}

Ki-67 is a well-known cell proliferation marker and can be used in the grading of Cervical intraepithelial lesion (CIN)/SIL,^{2,3} in which staining occurs in the upper two-thirds of the epithelium, whereas normal squamous epithelium typically shows only limited staining in the parabasal cell layer. Other benign lesions that are most commonly mistaken for HSIL include immature squamous metaplasia, reactive/reparative processes, and atrophy and these can be clearly separated from HGSIL by the use of p16INK4a and Ki-67 immunostains which are usually negative in them.

This study aimed to evaluate p16INK4a and Ki-67 expression in SIL and other benign cervical lesions in order to increase the diagnostic accuracy in equivocal cases.

Materials and Methods :

Materials :

A retrospective cross-sectional study was done involving the review of all the histologically diagnosed cases of SIL and other benign cervical lesions seen in the Pathology Department of a large tertiary hospital in South Western Nigeria between January 2006 and December 2013. Information was extracted from the departmental records and request forms.

The slides and tissue blocks of all the selected cases were retrieved. The primary antibody for p16INK4a was a monoclonal mouse anti-p16INK4a antibody (1E12E10, San Diego, CA 92124) in 1:200 dilutions while that of Ki-67 was monoclonal rabbit anti Ki-67 antibody (Thermo RM-9106-R7, UK) in 1:100 dilutions. The staining was validated with the respective negative and positive controls.

Methods :

All the Haematoxylin and Eosin (H&E) slides were reviewed and classified using the 2003 World Health Organization classification for intraepithelial and benign lesions of the cervix.⁸ All the cases were reviewed and re-grouped based on consensus H&E diagnoses agreed upon by two Pathologists and the first author. The cases were also stained with p16INK4a and Ki-67 immunohistochemical stains following heatinduced antigen retrieval method. Briefly, the sections were deparaffinised in xylene and were sequentially rehydrated in graded alcohol for two minutes. To increase the antigen detection, the slides were immersed in a citrate acid solution and were heated for 20 minutes and then incubated with antibodies for 32 minutes; Diaminobenzidine (DAB) was introduced for 4 minutes and they were counterstained with Mayer's Haematoxylin for 4 minutes. Stained slides were dried and were covered with glass cover slides.

The p16INK4a immunostaining was nuclear and/ or cytoplasmic staining in the epithelium and was graded based on the pattern of distribution and levels of epithelial involvement as negative (0), 1+, 2+, and 3+. Cases with no epithelial staining were grouped as negative for p16INK4a, 1+ was staining of basal/ parabasal up to one-third of the epithelium in a focal or



diffuse nuclear and/or cytoplasmic stain, 2+ involves up to two-third of the epithelium and 3+ which involves above two-third to full epithelial thickness. The pattern of Ki-67 immunostain was graded as positive and negative based on the pattern of distribution and the level of involvement of the cervical epithelium. Negative Ki-67 was determined by scattered epithelial staining limited to the basal cells or a complete absence of staining while positive Ki-67 immunostain was accepted when the pattern was that of scattered or diffuse epithelial staining above the basal and parabasal cells. The Immunohistochemistry slides were subsequently viewed and analysed. The relationship between the age, H&E diagnoses, P16INK4a and Ki-67 reactivity were determined by correlation and the statistical significance was obtained using the Statistical Package for Social Sciences version 18 (SPSS-18). The chi-square test was used for discrete variables, with the level of significance set at $p \leq 0.05$ and kappa was used to test for the strength of the associations. All ethical issues were duly considerfed and ethical approval was obtained from appropriate Local Ethical Committee.

Exclusion criteria:

Cases whose blocks and/or slides could not be retrieved were excluded from this study.

Results:

On the basis of their initial diagnoses, the 153



cases of SIL and benign cervical lesions, were classified as CIN 1 (49%), CIN 2 (25.5%) and CIN 3 (3.3%) while the clearly benign cases were basically chronic cervicitis (20.3%) and squamous metaplasia (2.0%).

All the cases were reviewed and re-grouped based on consensus H&E diagnoses as 47.1% CIN 1, 36.6% CIN 2 and 3.3% CIN 3 i.e. some cases of CIN 1 were upgraded to CIN 2 likely because severe chronic inflammation associated with some of the CIN 1 masked the severity of the squamous intraepithelial neoplasia in the initial evaluation. Also, we allotted chronic cervicitis to 12.3% and Squamous metaplasia were 0.7%. Also noted were various degrees of stromal lymphocytic infiltrations. The overall agreement between previous and consensus diagnosis was 60%, and their correlation was statistically significant (p<0.0001).

Age Distribution

The cases ranged in age from 23 years to 80 years (mean: 46.3 years). The largest proportion of cases (41.8%) was within the age group 40-49 years while the lowest proportion, (2.6%) were within the 20-29 years age group (Table 1). The age distribution correlation with the various categories of cases is also shown in Table 1.

P16INK4a Immunostaining

The p16INK4a distribution pattern was such that 1+ was seen in 92 (60.1%) cases, 2+ seen in 51 (33.3%), 3+ in 8 (5.2%) while only 2 (1.3%) were negative.

Table 1: Age Distributions of Various Categories of cases

Age group	Chronic	Squamous	CIN I	CINII	CIN III	Total
(Years)	Cervicitis	Metaplasia				
20-29	0 (0.0%)	0 (0.0%)	2(1.3%)	1 (0.7%)	1 (0.7%)	4(2.6%)
30-39	3(2.0%)	0 (0.0%)	21(13.7%)	12 (7.8%)	0 (0.0%)	36(23.5%)
40-49	13 (8.5%)	0 (0.0%)	29(19.0%)	20(13.1%)	2(1.3%)	64(41.8%)
50-59	0(0.0%)	0 (0.0%)	14 (9.2%)	15 (9.8%)	1 (0.7%)	30(19.6%)
60 –above	3(2.0%)	1(0.7%)	6(3.9%)	8(5.3%)	1(0.7%)	19(12.4%)
Total	19(12.4%)	1(0.7%)	72(47.1%)	56(36.6%)	5(3.3%)	153(100.0)





Table 2 below also showed that there was a good correlation between the grade of the intraepithelial lesions and the degree of expressivity of p16INK4a. Positive p16INK4a expression varied significantly with H&E diagnosis, among the CIN 1, low grade P16INK4a reactivity (1+) was seen in 95.8% of cases, (Table 2; Figure 1.1), while only 1.4% show grade 2+ and others were negative. The majority of CIN 2 lesions (83.9%) showed grade 2+ P16INK4a reactivity (Figure 1.2), however, 80% of CIN 3 lesions showed grade 3+ P16INK4a reactivity (Figure 1.3) with the remaining 20% showing grade 2+ staining (Table 2). Most of the non-dysplastic cervical lesions in this study also showed low grade of p16INK4a reactivity. The overall agreement between the H&E diagnoses and the p16INK4a immunostain reactivity was significant (p<0.0001).

Ki-67 immunoreactivity was seen in fewer cases (36.6%) with the greater proportion seen in CIN 2 (75%) and CIN 3 (60%), while the majority (64.1%) of the cases showed negative Ki-67 expression. Ki-67 positivity was also seen in some cases of chronic cervicitis and in the only case of squamous metaplasia.

(p<0.0001) respectively.

P16INK4a and Ki-67 Immunostains

Majority of the grade 2+ (76.5%) and grade 3+ (87.5%) p16INK4a positive cases were positive for Ki-67. However, 8.7% of grade 1+ p16INK4a positive cases were also positive for Ki-67. The correlation between the p16INK4a and Ki-67 immunoreactivities seen in this study is significant (p<0.0001). (Table 3)

Table 3: Correlation between P16INK4a and Ki-67

The overall agreement between the p16INK4a

		P16INK4a			
Cases	1+	2+	3+	NEG	TOTAL
Chronic cervicitis	17 (89.5%)	2 (10.5%)	0 (0.0%)	0 (0.0%)	19 (100.0%)
Squamous metaplasia	1 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (100.0%)
CIN I	69 (95.8%)	1 (1.4%)	0 (0.0%)	2 (2.8%)	72 (100.0%)
CIN II	5(9.0%)	47 (83.9%)	4 (7.1%)	0 (0.0%)	56(100.0%)
CIN III	0 (0.0%)	1 (20.0%)	4 (80.0%)	0 (0.0%)	5 (100.0%)
		Ki-	67		
Cases		Positive	Negative		Total
Chronic cervicitis		4 (21.1 %)	15 (78.9 %)		19 (100.0%)
Squamous metaplasia		1 (100.0%)	0 (0.0%)		1 (100.0%)
CINI		5 (6.9%)	67 (93.1%)		72 (100.0%)
CINII		42 (75%)	14 (2	:5%)	56 (100.0%)
CIN III		3 (60%)	2 (40	1%)	5 (100.0%)

Ki-67 IMMUNOSTAIN







Figure 1: Photomicrographs showing (a. x 100) Hematoxylin and Eosin (H&E) and immunohistochemical staining of (b. x 400) p16INK4a and (c. x 400) Ki-67. 1.1. CIN I, a, H&E staining. b, diffuse (one-third) p16INK4a immunostaining. c, scattered Ki-67 immunostaining in CIN 1; 1.2. CIN 2. a, H&E staining. b, diffuse nuclear and cytoplasmic (two-third) p16INK4a immunostaining. c, scattered Ki-67 immunostaining in CIN 3. a, H&E staining. b, diffuse nuclear and cytoplasmic (above two-third) p16INK4a immunostaining. c, scattered Ki-67 immunostaining in CIN 3.

Table 2). The overall agreement between the H&E diagnoses and the Ki-67 immunostain reactivity was also significant (p<0.0001).

Figure 1: Photomicrographs showing (a. x 100) Hematoxylin and Eosin (H&E) and immunohistochemical staining of (b. x 400) p16INK4a and (c. x 400) Ki-67. **1.1**. CIN I, a, H&E staining. b, diffuse (one-third) p16INK4a immunostaining. c, scattered Ki-67 immunostaining in CIN I; (**1.2.** CIN II. a, H&E staining. b, diffuse nuclear and cytoplasmic (two-third) p16INK4a immunostaining. c, scattered Ki-67 immunostaining in CIN II; **1.3.** CIN III. a, H&E staining. b, diffuse nuclear and cytoplasmic (above two-third) p16INK4a immunostaining. c, scattered Ki-67 immunostaining in CIN III.

Table 2: Correlation between H&E diagnoses,P16INK4A and Ki-67

The overall agreement between H&E diagnoses with P16INK4A and H&E diagnoses with Ki-67 immunostain reactivity were 77.5% (p<0.0001) and





and the Ki-67 immunostain reactivity was 68.7% (kappa the US who reported 51%, 65% and 72% respectively

Ki-67	P16INK4a							
	1+	2+	3+	NEG	TOTAL			
POS	8(14.5%)	39(71.0%)	7(12.7%)	1(1.8%)	55(100.0%)			
NEG	84(85.7%)	12(12.3%)	1(1.0%)	1(1.0%)	98(100.0%)			
Total	92(60.1%)	51(33.3%)	8(5.2%)	2(1.4%)	153(100%)			

52.4%, p<0.0001).

Discussion :

54.4%

Due to the subjectivity in the CIN evaluation with intra- and inter-observer variations of histomorphological characteristics, the consensus pathologist's review resulted in variations between the initial H&E assessment and final diagnoses. There was concordance in up to about 60%, slightly less than the 67.5% correlation observed in an Iranian study.⁹

This variation between the initial and final diagnoses seen in the present study may be partly explained by the chronic cervicitis and tissue reaction observed with most dysplastic changes¹⁰. However, equivocal cervical lesions had their diagnosis aided by useful adjunctive immunohistochemical stains such as P16INK4a and Ki-67, this was also observed to be useful in previous studies.^{2,9,11}

The majority of cases reviewed in this study were CIN 1 (47.1%) and a significant proportion of chronic cervicitis (12.4%) was also observed to be associated with CIN 1 as compared to the 36.6% of the CIN 2 and the 3.3% of CIN 3. The preponderance of CIN 1 observed in this study (47.1%) is slightly lower than those observed in some previous studies done by Sari et al^9 in Iran, Hariri et al^{12} in Denmark, and Guo et al^{13} in of CIN 1 proportion in their studies.

The highest proportion of all the reviewed cases in this study was in the age group 40-49 years (mean age: 46.3 years) which is slightly higher than what was obtained in the studies done in Greece by Haidopoulos et al¹⁴ with a mean age of 40.7 years and the United States by Guo et al¹⁵ with a mean age of 24.7 years. This observed pattern of age distribution may be due to the fact that more women around the age group 40-49 years have better attitudes toward cervical cancer screening. The 40-49 years correspond to the average perimenopausal age group of women in this environment¹⁶ and they are therefore more concerned about their health status despite poor awareness and lack of easy accessibility to the few locally available cervical cancer screening programmes.¹⁷ The age group 20-29 years was least represented despite the fact that they represent a very sexually active cohort of women. This may be largely due to many factors, including poor awareness on the importance of cervical cancer screening, and the lack of a national cervical screening programme and screening policy that ensure early commencement of screening (pap smear) by females in this age group which will eventually reduced the cancer incidence drastically.^{18,19} p16INK4a is one of the most intensely studied biomarker in uterine cervix related diseases and findings from such studies have consistent-





ly affirmed a potential role of this ancillary diagnostic tool in the evaluation of histologic and cytologic cervical samples.^{15,20,21} It is a new diagnostic approach, which is based on the detection of measureable indicators of exposure to HPV, susceptibility to infection and actual establishment of the disease.

The patterns of p16INK4a expressivity in this study revealed that majority of the cases (60.1%) had low grade (+1) p16INK4a immunostain reactivity, both in extent and in intensity, 38.5% had high grade (+2 or +3) while less than 5% cases remaining were negative.

There are variations in the pattern of expression of p16INK4a among different grades of cervical intraepithelial neoplasia in several previous studies.^{7,9, 12, 22} Generally, p16INK4a positivity was low (0-62%) in CIN 1 but higher (50-100%) in CIN 2 and CIN 3.^{6,23} With respect to CIN 1, the p16INK4a expression varied in different centres and was considerably higher in some.

The correlation between the H&E and p16INK4a immunostain showed that high grade dysplastic changes are more associated with high grade p16INK4a immunostain and vice versa. This is also seen in most of the previous studies done^{7,9, 12, 22} indicating a better agreement between the H&E diagnoses and the p16INK4a expression with a correlation of 77.5% (p<0.0001) in this study.

Majority of the CIN 1 cases show low grade p16INK4a immunoreactivity in this study and this is similar to what was observed in previous studies by Klaes et al,⁷ Hariri et al¹² and Focchi et al,²² in which higher proportions of CIN 1 cases (87%, 90.9% and 91% respectively) showed low grade p16INK4a expressions. However, in Sari⁹ and Queiroz²⁴ studies among the Iranian and Brazilian populations, lower proportions of CIN 1(31% and 40%) showed p16INK4a expression. Since positive staining with p16INK4a is associated with high-risk HPV subtype infections, finding significant staining even in CIN 1 and chronic cervicitis cases will suggest that, in this environment, there is an increased risk of HPV induced squamous intraepithelial lesion, (the exact proportion of which has not been determined). The presence of p16INK4a positive cells suggests the possibility of progression from a low grade to a higher grade dysplastic lesion among these cases. This can aid in triaging cases of CIN 1 that will need strict follow up plans. This was shown in two previous prospective studies by Haidopoulos et al.¹⁴ in Greece and Miyamoto et al.¹⁵ in the United States where initial and follow up biopsies were subsequently reviewed with a high incidence of progression to CIN 2 among patients with initial CIN 1 cases with p16INK4a positivity. Higher positive p16INK4a expression of 80% in CIN 3 was seen in this study as compared to many previous studies.^{7, 15,25, 26}

The use of a cocktail of both p16INK4a and Ki-67 antibodies along with the H&E staining has been shown in various studies to aid the proper evaluation of cervical squamous intraepithelial lesions and other benign lesions and for possible prognostication of the progression of a low grade to a high grade squamous intraepithelial neoplasia.^{27,28}

In this study, only a few cases with low p16INK4a positivity (5.2%) showed Ki-67 positivity but there was a significant correlation between p16INK4a and Ki-67 immunostaining with an overall agreement of 68.7%. A high correlation was seen between Ki-67 positivity and high grade p16INK4a immunostaining. This high correlation between p16INK4a and Ki-67 in high grade squamous intraepithelial neoplasia is similar to what was reported in several previous studies, ^{3,29,30} one of which concluded that co-localization of p16INK4a and Ki-67 is a practically and potentially powerful diagnostic approach to facilitate accuracy of CIN histopathologic diagnosis.³⁰

Thus, the findings in this study further affirm the importance of these stains in the evaluation of the squamous intraepithelial and other benign lesions.

Conclusion :

This study revealed the pattern of distribution of the squamous intraepithelial lesions and benign lesions usually encountered in this environment with a higher incidence of CIN 1 cases as against CIN 2 or 3. The majority of these CIN 1 cases have associated cervicitis.

A high proportion of low grade squamous intraepithelial neoplasia (CIN 1) with varying degrees of p16INK4a and Ki-67 expression seen strongly





suggests that cervical squamous intraepithelial neoplasia in this environment are likely associated with high grade HPV subtype infections and may predict possible progression to high grade squamous intraepithelial neoplasia.^{12,13} This finding is also corroborated by the p16INK4a expression seen among few cases of chronic cervicitis without squamous intraepithelial neoplasia. Although, this submission will need to be confirmed by further evaluation of positive cases for HPV DNA identification and sequencing.

The use of P16INK4a and Ki-67 in the evaluation of cervical biopsies for benign mimics of high grade intraepithelial lesion will aid proper single Pathologist evaluation and help in patients triaging for follow up.

LIST OF ABBREVIATIONS

P16INK4a - P16 Inhibitor of Cyclin Dependent Kinase 4a

Ki-67 – proliferative index

HPV – Human Papillomavirus

E6 and E7 - "Early" genes 6 and 7 oncoprotein (nonstructural)

CIN - Cervical Intraepithelial Neoplasm

H&E – Haematoxylin and Eosin

SIL - Squamous Intraepithelial Lesion

E2F – E2 transcription factor

DAB - Diaminobenzidine

SPSS-¹8 - Statistical Package for Social Sciences version 18

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